

Oxford Angels Network Application

As a result of government legislation, the Oxford Angels Network is allowed to send business plans to potential investors if the recipient is an “investment professional” or is a “high net worth individual” or a “sophisticated investor”. These terms are defined by the Financial Services and Markets Act 2000 (“FSMA”) as shown below and each must be appropriately documented.

Category	Criteria	Requirement
Investment Professional	An individual who is an authorised or exempt person under the FSMA or who is employed by an authorised or exempt organisation under the FSMA	Firm must be FSA Registered
High Net Worth Individual	Annual income > £100k or assets > £250k (excluding family home)	Confirmation of self-certification according to FSMA statement
Sophisticated Investor	Sufficient experience in dealing with certain kinds of investments to understand risks involved	Confirmation of self-certification according to FSMA statement

We naturally wish to send you investment opportunities and we have therefore made provision for each category to obtain the required documentation.

If you are an “investment professional” please complete and sign Part A of the application form and return to the Oxford Angels Network.

If you feel you fall into either the “high net worth individual” or “sophisticated investor” bracket please complete and sign Part B of the application form.

An application form is provided for you to complete and return to the address below. If you are an individual investor, please remember to enclose a copy of your certificate when returning the application form to OAN.

The Oxford Angels Network is not a regulated body under the terms of the FSMA. The government legislation is designed to protect investors. We support the principle but, like you I imagine, regret the paperwork involved.

Mrs Linda Naylor
Managing Director, Oxford University Innovation

Oxford Angels Network

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