

Oxford Angels Network

Buxton Court

3 West Way

Oxford OX2 0JB



Oxford Angels Network Application Guide

As a result of government legislation, the Oxford Angels Network is allowed to send business plans to potential investors if the recipient is an “investment professional” or is a “high net worth individual” or a “sophisticated investor”. These terms are defined by the Financial Services and Markets Act 2000 (“FSMA”) as shown below and each must be appropriately documented.

| Category | Criteria | Requirement |
|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|
| Investment Professional | An individual who is an authorised or exempt person under the FSMA or who is employed by an authorised or exempt organisation under the FSMA | Firm must be FSA Registered or an equivalent overseas body |
| High Net Worth Individual | Annual income > £100k or net assets > £250k (excluding family home) | Confirmation of certification according to FSMA statement |
| Sophisticated Investor | Sufficient experience in dealing with certain kinds of investments to understand risks involved | Confirmation of certification according to FSMA statement |

We naturally wish to send you investment opportunities and we have therefore made provision for each category to obtain the required documentation.

If you are an “**Investment Professional**” please complete and sign the “**Section 2 - Statement for regulated investment professionals**” of the application form and return to the Oxford Angels Network.

If you are a “**High Net Worth Individual**” please complete and sign “**Section 2 - Statement for certified high net worth individual**” of the application form and return to the Oxford Angels Network.

If you are a “**Sophisticated Investor**” please complete and sign “**Section 2 - Statement for self-certified sophisticated investor**” of the application form and return to the Oxford Angels Network.

An application form is provided for you to complete and return to the address above. If you are an individual investor, please remember to enclose a copy of your certificate when returning the application form to OAN.

The Oxford Angels Network is not a regulated body under the terms of the FSMA. The government legislation is designed to protect investors. We support the principle but, like you I imagine, regret the paperwork involved.